

# Corporate risk alignment

Like the compliance areas, corporate risk management and internal audit can collaborate in evaluating compliance risks

- Keep the regulatory map updated;
- Educate upper management and board and committee members with regard to interpreting the legislation;
- Prioritize legal and regulatory risks;
- Keep the ethics and compliance program active;
- Assist business areas in implementing operational compliance;
- Monitor and report issues of ethics and compliance.



- Evaluate the efficiency and efficacy of the ethics and compliance program, processes, systems and structure;
- Address the main threats and weaknesses associated with compliance risk, making recommendations to upper management and board and committee members, with corrective measures.
- Manage the prioritized legal risks;
- Facilitate understanding of compliance risks by adopting ratings and remediation actions;
- Ensure the commitment of upper management and board and committee members with regard to the consequences of the risk of not being in compliance.