

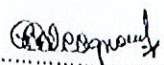


SECURITIES INDUSTRY REGULATIONS 2012
SCHEDULE 2
(Regulation 11)

DECLARATION

I, ALESSANDRO JOSEPH CALAGNO SMITH, hereby declare the following:

I am aware that should I knowingly or intentionally supply false or misleading information herein,
I may be liable to prosecution.


SIGNATURE

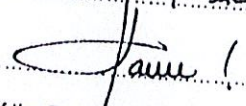
24/06/2019
DATE

I certify that the above statement was taken by me and that the deponent has acknowledged that
he/she knows and understands the content of this statement. This statement was taken in my
presence at:

Lic. Anayansi Jovane Cubilla
Notaria Segunda del Circuito
de Panama

on this 25th day of June, 2019




Justice of the Peace/Notary Public



**SECURITIES INDUSTRY REGULATIONS 2012
SCHEDULE 2
(Regulation 11)**

Other documents to be attached to the Application:

1. A copy of Work Permit or Permanent Residence Permit – with the right to work (for non-Bahamian citizens);
2. A copy of the relevant pages of the applicant's passport (to include name, date of birth, nationality, signature, expiration date and photograph);
3. Applicant's current Police Certificate (not more than three months old) or an affidavit in the acceptable form, if the Police Certificate is not available;
4. Three recent photographs of the Applicant, individually signed on the back by the Applicant;
5. Copy of any relevant degree, educational or professional course(s) passed;
6. Original financial reference letter (dated within three months of receipt of the application);
7. Two original character reference letters (dated within three months of receipt of the application);
8. Copy of Curriculum Vitae;
9. A current job description, duly signed and dated by the applicant and other authorized personnel, detailing the duties and responsibilities attached to the position to which the applicant is to be appointed. Evidence of Board approval of the appointment which may include Board minute/resolution or secretary's certificate is also to be provided;
10. An administrative fee must be submitted with this application. The appropriate fee can be found in the Securities Industry (Fee) Rule, 2012.

PACIFICO GLOBAL ADVISORS LTD.

STATEMENT

made up to the 3rd day of April A.D. 2018 being the fourteenth day
succeeding the day of the Ordinary general meeting in 2018
showing the following particulars:

COPY

Surname and Christian names of Members of Company	Address	Occupations	Shares held by each
Arturo Klein	Switzerland	Businessman	5,000
Valora Investments Ltd.	Bahamas	Company	20,000
(1) Amount of capital.		B\$25,000.00	
(2) Number of shares into which capital is divided.		25,000 shares of B\$1.00 each	
(3) Number of shares taken from commencement of Company to date.		25,000	
(4) Amount of calls made on each share.		Fully paid	
(5) Total amount of calls received.		Fully paid	
(6) Total amount of calls unpaid.		Nil	
(7) Total amount of shares forfeited.		Nil	
(8) Registered Number of the Company.		60146 C	

Persons who have ceased to be members since last return was made

Names	Address	Occupations	Shares held by each
COPY OF REGISTER SHOWING THE NAMES, ADDRESSES, AND OCCUPATIONS OF DIRECTORS OR MANAGERS			
Mr. Arturo Klein	Switzerland	Office Executive	Director and Managing Director Chief Executive Officer
Delecia Campbell	Nassau, Bahamas	Office Executive	Director
Mr. Luca Lanciano	Nassau, Bahamas	Investment Advisor	Chief Operations Officer
Welwyn Limited	Nassau, Bahamas	Company	Secretary



[Signature]
Secretary - Welwyn Limited
By its authorized signatory



Business Licence Certificate 2019

(issued under Business Licence Act, 2010)



LICENCE
NUMBER

Annual - 10013380

TIN

100335118

Pursuant to section 4 of the Business Licence Act, 2010

PACIFICO GLOBAL ADVISORS LTD.

trading as :

PACIFICO GLOBAL ADVISORS LTD.

located at :

#1 Pineapple House Old Fort Bay, Western Road Nassau, New Providence (Home Based)

is hereby granted an Annual licence to practice/carry on the profession/occupation/business of :

NON-RESIDENT COMPANY

Securities Investment Advisor

subject to the terms and conditions specified in that Act and subject also to the special terms and condition following, that is to say:-

- *The Licensee has paid the business licence tax as assessed.*
- *The Licensee has maintained the pre-required certification by other government and regulatory agencies.*

Granted this 1st February, 2019

By and with the authority of the Secretary for Revenue

THIS LICENCE EXPIRES

DECEMBER 31, 2019

LICENCE VALID THROUGH

JANUARY 31, 2020

(for) Secretary for Revenue

This certificate remains the property of the Business Licence Department, Ministry of Finance and must be surrendered upon request. Note that the said certificate must be displayed at all times in a conspicuous place where business activity is conducted in accordance with section 4 of the Business Licence Act. This licence is non-transferable. It is an offence punishable by law to alter or tamper with this certificate in any way. Please notify the Secretary for Revenue immediately if there is any change in the circumstances of the business or if the legal obligation to be registered ceases.

000044



GOVERNMENT OF THE COMMONWEALTH OF THE BAHAMAS
MINISTRY OF FINANCE
VALUE ADDED TAX DEPARTMENT

CERTIFICATE OF REGISTRATION

Tax Identification Number (TIN): 100335118

This certifies that PACIFICO GLOBAL ADVISORS LTD.

located at #1 PINEAPPLE HOUSE
OLD FORT BAY
WESTERN ROAD
NASSAU
NEW PROVIDENCE

in The Commonwealth of The Bahamas is duly registered under the provisions of the
Value Added Tax Act 2014, effective 1 January 2015.

Signed For The Comptroller

Dated this 7th day of February, 2019

This certificate remains the property of the Value Added Tax Department, Ministry of Finance and must be surrendered upon request. Note that the said certificate must be displayed at all times in a conspicuous place where taxable activity is conducted in accordance with section 25 of the Value Added Tax Act. It is an offence punishable by law to alter or tamper with this certificate in any way. Please notify the Comptroller immediately by completing VAT Form 19 if there is any change in the circumstances of the business or if the legal obligation to be registered ceases.



000045



SIA-000445

SECURITIES COMMISSION OF THE BAHAMAS
CERTIFICATE OF REGISTRATION

Pursuant to Section 29(5) of the Securities Industry Act, 1999

Pacifico Global Advisors Ltd.

is hereby registered as a

SECURITIES INVESTMENT ADVISOR

to carry on securities activities in and from the Commonwealth of The Bahamas, subject to the terms and conditions specified in the Securities Industry Act, 1999 and the Securities Industry Regulations, 2000.

Issued this 25th day of January, 2012

Signed:

Chairman

000046



SIA-F113

SECURITIES COMMISSION OF THE BAHAMAS
CERTIFICATE OF REGISTRATION

Pursuant to Part VI Section 69(1) of the Securities Industry Act, 2011 and Part VI of the Securities Industry Regulations, 2012.

Pacifico Global Advisors Ltd.

(Previously licenced under Section 22 of the Securities Industry Act, 1999 on the 4th day of May, 2010)
is hereby registered as a Firm

Managing Securities (as at 30th day of December, 2011)
Dealing as Agent or Principal
Arranging Deals in Securities
Advising on Securities

to carry on securities activities in and from the Commonwealth of The Bahamas, subject to the terms and conditions specified in the Securities Industry Act, 2011 and the Securities Industry Regulations, 2012.

Effective this 11th day of September, 2015

Signed: Chik R

Executive Director

000047



SIA-F113-09

SECURITIES COMMISSION OF THE BAHAMAS

CERTIFICATE OF REGISTRATION

Pursuant to Regulation 40(1) of the Securities Industry Regulation, 2012

Arturo Klein

is hereby registered as

Chief Executive Officer

with

Pacifico Global Advisors Ltd.

to carry on securities activities in and from the Commonwealth of The Bahamas, subject to the terms and conditions specified in the Securities Industry Act, 2011 and the Securities Industry Regulations, 2012.

Effective this 14th day of March, 2018

Signed: *Chik R*
Executive Director

000048



SIA-F113-13

SECURITIES COMMISSION OF THE BAHAMAS CERTIFICATE OF REGISTRATION

Pursuant to Part VI, Section 69(4) of the Securities Industry Act, 2011 and Part VI of the Securities Industry Regulation, 2012

Daren Charles Seymour

is hereby registered as
Trading Representative
Advising Representative
Discretionary Management Representative
with

Pacifico Global Advisors Ltd.

to carry on securities activities in and from the Commonwealth of The Bahamas, subject to the terms and conditions specified in the Securities Industry Act, 2011 and the Securities Industry Regulations, 2012.

Effective this 17th day of July, 2019

Signed:

Executive Director

000049



SIA-F113-11

SECURITIES COMMISSION OF THE BAHAMAS
CERTIFICATE OF REGISTRATION

Pursuant to Regulation 40(2) of the Securities Industry Regulation, 2012

Vanasha Nadia Butler

is hereby registered as
Compliance Officer

with

Pacifico Global Advisors Ltd.

to carry on securities activities in and from the Commonwealth of The Bahamas, subject to the terms and conditions specified in the Securities Industry Act, 2011 and the Securities Industry Regulations, 2012.

Effective this 3rd day of September, 2018

Signed: 
Executive Director

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By Hand & Email

September 20th, 2019

Christina Rolle
Executive Director
Securities Commission of The Bahamas
Poinclana House, East Bay Street
Nassau, The Bahamas

Dear Ms. Rolle,

Re: Pacifico Global Advisors Ltd. – Winding Up

Further to your meeting with Kareem Kikivarakis on Monday September 16, 2019, the Directors and Shareholders of Pacifico Global Advisors Ltd ('PGA') have decided that it would be in the best interest of PGA and its clients to be wound up immediately.

This decision was largely driven by diminishing revenue streams and increasing challenges of doing business in the jurisdiction.

The only remaining objective of the company is to return assets held in custody to its clients.

We understand that The Securities Commission of The Bahamas ('SCB') wants to select the independent court supervised liquidator of the company.

Therefore, PGA asks that the SCB commence the process of determining who the liquidator of the company will be and the Directors and Shareholders shall provide the necessary documents as needed.

In the meantime, the only payments to be made will be employee salaries for September 2019 and I hereby tender my resignation as Chief Executive Officer of the company with immediate effect and relinquish all operational control.

Please be advised that the Chief Operating Officer, Kareem Kikivarakis, works on a month-to-month basis and is engaged until September 30, 2019.

I am at your disposal for any questions and await further instructions regarding the winding up process.

Yours Sincerely,

Arturo Klein
CEO

Pacifico Global Advisors Ltd.

#1 Pineapple House, Old Fort Bay, Western Road, P.O. Box SS-19371, Nassau, The Bahamas
Tel: +1 242 698-0362 – Fax: +1 242 698-0367 – Email: info@pacifico-ltd.com | Website: www.pacifico-ltd.com

000051


PACIFICO GLOBAL ADVISORS LTD.
WRITTEN RESOLUTION OF THE SHAREHOLDERS OF THE COMPANY

We, being all of the shareholders of Pacifico Global Advisors Ltd. ("the Company"), a Company incorporated and operating under the laws of the Commonwealth of The Bahamas do hereby waive all notice of time, place or purpose of a meeting and consent to, approve and adopt the following resolution pursuant to the Company's Articles of Association on the 2nd day of October A.D. 2019;

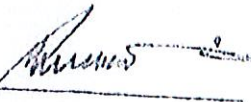
IT IS RESOLVED THAT:

- 1) That, in accordance with the Companies Act and the Articles the Company be voluntarily wound up and that Edmund L. Rahming of INTELISYS, 2 Caves Professional Center, Caves Village, West Bay Street & Blake Road, P.O. Box SP-64064, Nassau, Bahamas be appointed as Voluntary Liquidator with the power to act for the purpose of winding up the affairs of the Company.
- 2) That the Voluntary Liquidator's remuneration be calculated on a time cost basis and be paid from any assets available in the winding up of the estate in accordance with the Companies Winding Up Rules 2011 and in accordance with the engagement and Indemnity letters dated October 2nd October, 2019.

Dated the 2nd day of October A.D. 2019



Valora Investments Ltd.
Member:
By its authorized signatory



Member: Arturo Klein

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Voluntary Liquidator's Consent to Act

VOLUNTARY LIQUIDATOR'S CONSENT TO ACT

Pacifico Global Advisors Ltd. (In Voluntary Liquidation)

To: The Registrar of Companies

TAKE NOTICE that we, Mr. Edmund L. Rahming of INTELISYS, of 2 Caves Professional Centre, Caves Village, West Bay Street and Blake Road, PO Box SP-64064, Nassau, The Bahamas hereby consent to act as Voluntary Liquidator of the above-mentioned Company with effect from the commencement of the liquidation.

Dated this 2nd day of October 2019.



Edmund L. Rahming

000053



Pineapple House
Fourth Building, 2nd Floor
31A East Bay Street
PO Box 14 8347
Nassau, The Bahamas

BY HAND AND VIA EMAIL: ak@pacifico-ltd.com; kk@pacifico-ltd.com

3 October 2019

Mr. Arturo Klein
CEO
Pacifico Global Advisors Ltd.
#1 Pineapple House, Old Fort Bay
Western Road
Nassau, Bahamas

Dear Mr. Klein:

Re: Pacifico Global Advisors Ltd. - Winding Up

We refer to our meeting with Mr. Kareem Kikivarakis at the Commission's offices on Monday 16 September 2019 and letter dated 20 September 2019 from Pacifico Global Advisors Ltd. ("Pacifico") advising of Pacifico's decision to wind up its operations.

The Securities Commission of The Bahamas ("the Commission") notes the reasons for the decision and so, pursuant to section 73 of the Securities Industry Act, 2011, here approves Pacifico's voluntary winding up subject to the winding up becoming supervised by the Supreme Court of The Bahamas within fourteen (14) days of the receipt of this letter.

Further to our conversation with Mr. Kikivarakis, the Commission advises the selection of Mr. Edmund Rahming and Intelisys Ltd. as the Liquidator for this exercise.

If you have any questions or concerns, please feel free to reach out to us. Otherwise, we expect Pacifico's full cooperation with the selected Liquidator and the Court supervised process.

Yours sincerely,

Christina Rolle
Executive Director

cc: Mr. Kareem Kikivarakis, COO – Pacifico Global Advisors Ltd.
Mr. Edmund Rahming - Intelisys Ltd.

000054

PACIFICO GLOBAL ADVISORS LTD. (in Voluntary Liquidation)
WRITTEN RESOLUTION OF THE SHAREHOLDERS OF THE COMPANY

We, being all of the shareholders of Pacifico Global Advisors Ltd. (in Voluntary Liquidation) ("the Company"), a Company incorporated and operating under the laws of the Commonwealth of The Bahamas do hereby waive all notice of time, place or purpose of a meeting and consent to, approve and adopt the following resolutions pursuant to the Company's Articles of Association on this 22nd day of October A.D. 2019;

IT IS RESOLVED THAT:

- 1) The shareholders unanimously consent to the voluntary liquidation being put under the supervision of the Supreme Court of The Bahamas.
- 2) The shareholders unanimously consent to Edmund Rahming of INTELISYS, 2 Caves Professional Center, Caves Village, West Bay Street & Blake Road, P.O. Box SP-64064, Nassau, The Bahamas being appointed as Official Liquidator of the Company with the power to act as directed by the Supreme Court of The Bahamas.

Dated the 22nd day of October A.D. 2019

Member: Valora Investments Ltd.
By its authorized signatory



Member: Arturo Klein

000055

Simone A. Morgan-Gomez

From: Edmund Rahming <ERahming@intelisysltd.com>
Sent: Tuesday, October 22, 2019 8:20 AM
To: Simone A. Morgan-Gomez
Subject: Fwd: Pacifico Global Advisors Ltd. (in Voluntary Liquidation) - Shareholders' Resolution for Court Supervision of Liquidation - URGENT

FYI - let's discuss

Sent from my iPhone

Begin forwarded message:

From: "Peter G. Fletcher" <PFletcher@HPFlegal.com>
Date: 22 October 2019 at 8:06:13 AM GMT-4
To: Edmund Rahming <ERahming@intelisysltd.com>
Cc: "arturoklein@cwpanama.net" <arturoklein@cwpanama.net>, Kelia Duncombe <kduncombe@intelisysltd.com>, Keithra Sherman <ksherman@intelisysltd.com>
Subject: Re: Pacifico Global Advisors Ltd. (in Voluntary Liquidation) - Shareholders' Resolution for Court Supervision of Liquidation - URGENT

Dear Mr. Rahming, I am off Island until the weekend. However, if the document can be delivered to my Office I will sign it on Monday and arrange its return to you. Rgds

Peter Fletcher
Holowesko Pyfrom Fletcher
Sent from my iPhone

On 21 Oct 2019, at 9:10 PM, Edmund Rahming <ERahming@intelisysltd.com> wrote:

Good evening Mr. Klein and Mr. Fletcher,

Further to the Securities Commission's letter of October 3, 2019 (attached) advising that the voluntary liquidation is approved subject to it becoming court supervised, please find attached the shareholders' resolution seeking to place the liquidation under the supervision of the Supreme Court of the Bahamas.

Mr. Klein please review and sign in approval. Once you have signed and returned to us by email we will take it to Mr. Fletcher for approval and signing. If there are any questions please do not hesitate to let me know.

Many thanks,

Ed Rahming
Founder and Managing Director

Office Tel +1 242 327 4001/3 | Mobile +1 242 376 5746 | USA Tel +1 305 722 3481
erahming@intelisysltd.com | www.intelisysltd.com | [Download vCard](#)

000056

Caves Village | No. 2 Caves Professional Center | Blake Road and West Bay Street
PO Box SP-64064 | Nassau | The Bahamas

<image002.png>

This e-mail is only intended for the person(s) to whom it is addressed and may contain confidential and/or privileged information. Unless stated to the contrary, any opinions or comments are personal and do not represent an official view. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you have received this e-mail in error, please notify me immediately by reply e-mail and then delete this message from your system. Thank you for your cooperation.

<Pacifico Global - Shareholders Resolution.docx>

<Pacifico Global Advisors Ltd. -Winding Up.pdf>

**IN THE SUPREME COURT OF THE BAHAMAS
COMMERCIAL DIVISION**

**IN THE MATTER OF THE COMPANIES
ACT, 1992, CH. 308**

**AND IN THE MATTER OF PACFICO
GLOBAL ADVISORS LTD**

**FIRST AFFIDAVIT OF EDMUND
RAHMING: IN SUPPORT OF
WINDING UP PETITION**

2019

COM/bnk/000_____

Callenders & Co.

CALLENDERS & CO.

Chambers

One Millars Court

Nassau, N.P., The Bahamas

Attorneys for the Petitioners

SAM-G/PB/25078.0001