

(in Official Liquidation)



KNOW YOUR CUSTOMER (KYC) AND INSTRUCTIONS TO OFFICIAL LIQUIDATOR

COMPANY/CLIENT NAME: _____

THE FORM BELOW MUST BE COMPLETED IN IT'S ENTIRETY TO ENABLE THE LIQUIDATOR TO RETURN YOUR ASSET HOLDINGS TO YOU IN ACCORDANCE WITH YOUR INSTRUCTIONS.

THIS INFORMATION WILL BE TREATED WITH STRICT CONFIDENCE.

Mr. Edmund L. Rahming of Intelisys was appointed as Official Liquidator ("the Liquidator") of Pacifico Global Advisors Ltd. ("the Company") pursuant to an Order by The Supreme Court of The Bahamas on 8 November 2019.

As a result of the liquidation the Company no longer provides services, therefore we ask that you review and provide the information requested below as soon as possible. As and when the information below is received it will be reviewed to ensure compliance with the KYC requirements and AML Regulations of The Bahamas is satisfied. This legislation includes The Proceeds of Crime Act, 2000, the Anti-Terrorism Act 2004 and the Guidelines for Licensees on the Prevention of Money Laundering and Countering the Financing of Terrorism as issued by The Central Bank of the Bahamas.

In circumstances where this instruction is returned to us or not responded to, we will undertake a limited tracing exercise using our Business Intelligence Services department tools which will include Lexis-Nexis and The Bahamas' BOSSs (Beneficial Ownership Secure Search System) database searches for client/beneficial owner confirmation and address location.

This form and the required documents must be returned on or before end of day **31 July 2020**. **Original certified documents will only be accepted with postal dates prior to the aforementioned deadline.** Clients failing to meet the KYC requirements in compliance with the laws of The Commonwealth of The Bahamas will not be eligible to receive payout from the Official Liquidator.

A. ULTIMATE BENEFICIAL OWNERS (*information below must match proof of identification provided*):

	NAME	DATE OF BIRTH	NATIONALITY
1.			
2.			
3.			
4.			
5.			

B. POLITICALLY EXPOSED PERSON (PEP) STATUS:

Yes No

Details (if yes): _____

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C. U.S. CITIZEN/RESIDENT

Yes

No

D. COMPANY ADDRESS (must match proof of address provided):

Registered Agents (name and address) : _____

E. PERSONAL ADDRESS (must match proof of address provided):

F. CONTACT DETAILS:

EMAIL: _____ CELL PHONE: _____

OFFICE: _____ HOME: _____

G. PROOF OF IDENTITY (a certified copy of **any one of the following must be submitted):**

Passport Number _____ Expiry Date _____

Drivers License _____ Expiry Date _____

Others (any government identification) _____ Identification Number _____

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H. BANKING INSTRUCTIONS (provide banking details for the distribution of assets):

I. SUPPORTING DOCUMENTS (to be provided):

<u>INDIVIDUALS</u>	<u>COMPANIES</u>
Certified copy of proof of address (bills etc.)	Register of Member or Annual Return - current
Proof of bank account ownership	Register/Certificate of Directors and Officers - current
Certified copy of government identification	Certified copy government identification
	Certified copy proof of address (bills etc.) Beneficial Owner
	Certified copy proof of address company
	Proof of bank account ownership Beneficial Owner

J. CLIENT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations of any stature of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby acknowledge responsibility for any and all tax filings related to my holdings at Pacifico Global Advisors Ltd. (in Official Liquidation) and will forward any/all documents requiring Pacifico’s confirmation to the Liquidator.

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[Client Signature]

Date: _____
dd-mm-yyyy

Place: _____

FOR OFFICIAL USE ONLY:

Date received: _____ Received by: _____
 Foreign documents translated: Yes No
 Certified documents received: Yes No
 Postal date for certified documents: _____

General Instructions:

1. For particular sections please tick (✓) the box available.
2. Certified documents submitted via e-mail should follow with the originals. Certified documents should be delivered air-mailed (cost free) as addressed below:

Attention: Edmund L. Rahming
 Pacifico Global Advisors Ltd. (in Official Liquidation)
 #2 Caves Professional Center
 Blake Road and West Bay Street
 P. O. Box SP-64064
 Nassau, The Bahamas

3. Any proof of identity or proof of address in a foreign language must be translated into English and is required with attestation by one of the following and expiry date should be greater than six (six) months longer than the deadline date for the submission of this form:
 - a. Notary Public (Name, Designation and Seal should be affixed on the copy)
 - b. Bank Manager of Commercial or Multinational foreign banks (Name, Designation and Seal should be affixed on the copy)
 - c. Consulate General
 - d. Government authorized officials who are empowered to issue Apostille Certificates.
4. Proof of Address may include any of the following and must not be more than four (4) months old at date of postage/ mailing:
 - a. Utility bill from a service provider
 - b. Bank statement
 - c. Documents issued by Government Departments/Statutory Regulatory authorities
5. Payments will be made directly to accounts in the name of beneficial owners only. No third-party payments will be accommodated.