

## What needs to be recorded on Global Independence Monitoring System (GIMS)?



<b>MANDATORY</b>	Pensions – both the provider / scheme, and the underlying funds	Debentures
	Shares / Share Options	Stocks and Shares ISAs - both the provider and the underlying funds
	Brokerage Accounts	Child Trust Funds/Junior ISAs - both the provider and the underlying funds
	Notes / Bonds / Structured Products	Life Assurance / Endowment Policies
	Investment Funds	Insurance Products underwritten by Lloyds Banking Group or any affiliates
	Illiquid Investments (e.g. EIS/VCT/Crowdfunding)	Cryptocurrency Exchanges
	Bank / Building Society Accounts (Partners and Audit & Assurance Staff Only)	<i>Cryptocurrency tokens if they are registered with the local financial regulator</i>
	Investment Accounts	

<b>VOLUNTARY</b>	Insurance Products not underwritten by Lloyds Banking Group or any affiliates
	Mortgages
	Loans
	Credit Cards
	Store Cards
	Cash ISAs
	Cryptocurrency Coins

### Don't forget...

All holdings must be recorded on GIMS within the **10-day rule** of acquisition to comply with our regulators and firm policy!



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