



What needs to be recorded on Global Independence Monitoring System (GIMS)?



MANDATORY	Pensions – both the provider / scheme, and the underlying funds	Debentures
	Shares / Share Options	Stocks and Shares ISAs - both the provider and the underlying funds
	Brokerage Accounts	Child Trust Funds/Junior ISAs - both the provider and the underlying funds
	Notes / Bonds / Structured Products	Life Assurance / Endowment Policies
	Investment Funds	Insurance Products underwritten by Lloyds Banking Group or any affiliates
	Illiquid Investments (e.g. EIS/VCT/Crowdfunding)	Cryptocurrency Exchanges
	Bank / Building Society Accounts (Partners and Audit & Assurance Staff Only)	<i>Cryptocurrency tokens if they are registered with the local financial regulator</i>
	Investment Accounts	
VOLUNTARY	Insurance Products not underwritten by Lloyds Banking Group or any affiliates	
	Mortgages	
	Loans	
	Credit Cards	
	Store Cards	
	Cash ISAs	
	Cryptocurrency Coins	

Don't forget...

All holdings must be recorded on GIMS within the **10-day rule** of acquisition to comply with our regulators and firm policy!



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