



Distribution Advisory and Support Services  
Compliance on Demand

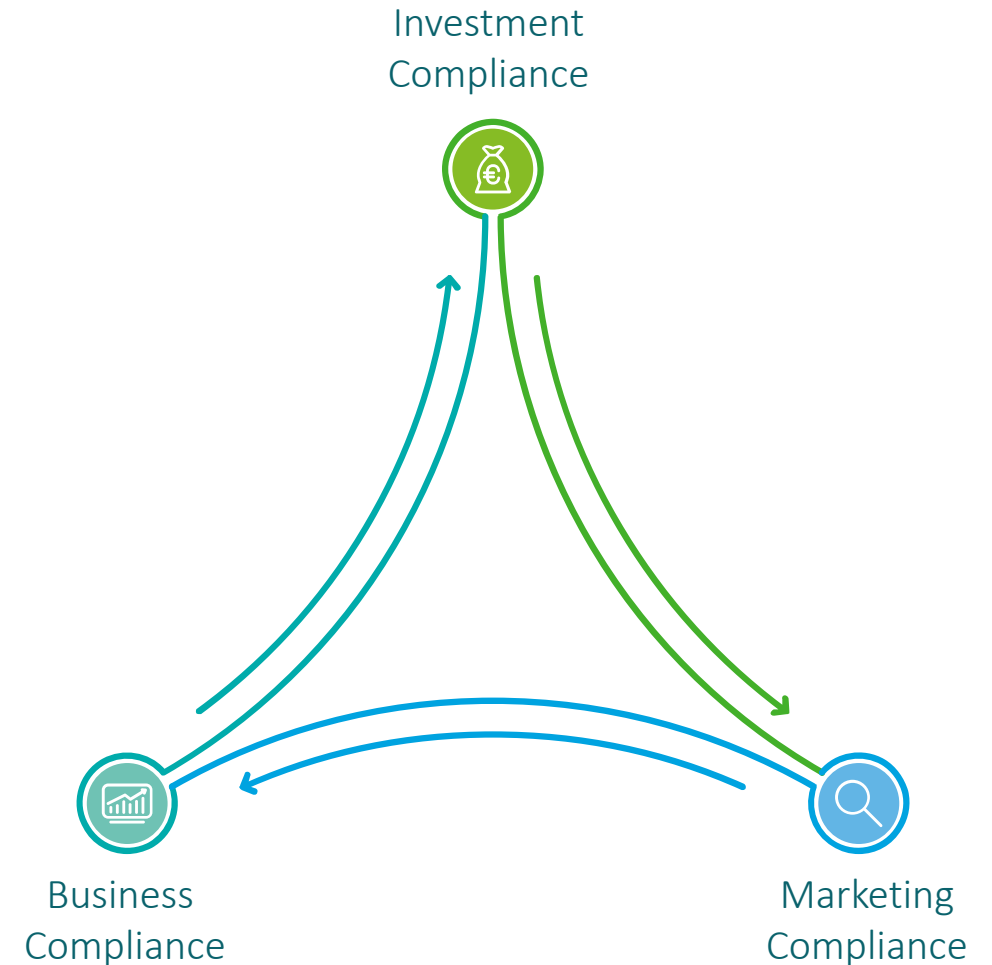
# Compliance on Demand Service

Our solution for you

With the Compliance on Demand Service, you can directly request Deloitte's assistance in answering **dedicated questions** related to **three main categories**.

## Solutions

- Prompt and dedicated answers to specific compliance questions
- **Direct contact with compliance specialists** for real time questions and answers
- **Independent** and **expert advice**
- **Volume resistant** support
- **Market knowledge** covering over 80 countries' regulatory frameworks
- **Guidance** on the latest regulatory developments, challenges and your business issues
- **Experienced, knowledgeable** and **multilingual staff**



# Compliance on Demand Service

Compliance area covered



## Investment Compliance

Investment compliance involves asset eligibility, their categorization and investment restrictions as per Luxembourgish and other jurisdictions' rules and regulations.



## Business Compliance

Business compliance involves interpreting laws and regulations applicable to funds, management companies, alternative investment fund managers (AIFMs), administrators, and distributors regarding investment strategy, fund design, administration organization, delegation models, policies, procedures, oversight and due diligence.



## Marketing Compliance

Marketing compliance involves reviewing marketing materials (e.g. factsheets, websites) related to a fund's promotional activities to ensure they comply with EU and/or local regulations.

# Compliance on Demand Service

## How it works when you have a question



- You can answer it but would like to receive a confirmation
- You can answer it but it would be too time consuming
- You are understaffed
- You are not sure how to respond



- Acknowledgement (e-mail) within 24 hours
- Response is usually provided within 48 hours (depending on the complexity and nature of the question)
- Response will include a proposition of fee quote, timeline, and support for deliverable.
- Project will start upon reception of client validation



- You contact Deloitte by phone or e-mail:  
+352 451 454 357  
[luregulatoryhotline@deloitte.lu](mailto:luregulatoryhotline@deloitte.lu)

# Compliance on Demand Service

Our responses to you



We provide our clients with various deliverables depending on the nature of the query



# Compliance on Demand Service

Our added value

Fast and efficient quality responses at reasonable cost



# Key contacts

## Consulting IM & PERE Leader

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## Distribution Advisory & Support

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