

Deloitte.



Responding with Confidence
Compliance on Demand



We address all queries on business, marketing and investment compliance.



Context

In today's rapidly evolving environment, compliance departments and other responsible governing bodies face many regulatory challenges with finite resources. The Compliance on Demand service, is part of the suite of AM compliance services offered by Deloitte. The purpose of the Compliance on Demand services is to provide expert advice on regulatory compliance queries an asset manager or its service providers may encounter.



How does it work

Within the Compliance on Demand service, you can directly request Deloitte's assistance in answering specific questions related to three main categories.



Investment Compliance relates to the eligibility of assets, their categorization and the investment restrictions that apply to them in accordance with Luxembourg law and other jurisdictions.



Business Compliance relates to the interpretation of laws and regulations applicable to management companies, administrators, distributors etc. in terms of administration and organizational structure, delegation models, policies and procedures, oversight and due diligence.



Marketing Compliance relates to reviews of marketing materials (e.g. factsheets, websites) that support the promotional activities of a fund and their compliance with EU and/or local regulations, as appropriate.



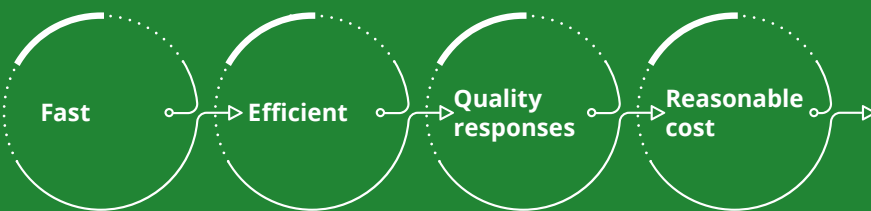
Our service

Deloitte is well-positioned to respond to your most complex compliance needs by providing:

- Prompt and dedicated** answers on specific compliance questions
- Direct contact to compliance specialists** for real time answers
- Independent expert advice**
- Volume resistant** support
- Market knowledge** of more than 70 countries' regulatory frameworks
- Guidance** on the **latest developments**, challenges and business issues
- Experienced, knowledgeable** and **multilingual staff**



Your opportunity



An answer is usually provided within 48 hours, depending on the complexity and nature of the question.

Compliance enquiries are time sensitive, so we complement your compliance teams that have limited resources.

Our professional experts provide you sound and up-to-date advice.

In externalizing part of your compliance service, you can be more flexible and improve cost efficiency.

We provide an expert opinion when you need it – peace of mind 365 days a year.

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