

Deloitte's Regulatory
Compliance Services
An introduction to
our solutions



The regulatory landscape today

Challenges in regulatory compliance vary across organisations –
A spotlight on 4 frequently-heard concerns



Sharon
Head of Regulatory Monitoring

"It is becoming impossible to keep up-to-date with all upcoming regulations for rapidly changing themes like Sustainability and Digital Responsibility"

"My challenge is to assess relevance and potential impact in a timely manner, and push alerts to the responsible business units."



Sharon feels she is never really up-to-date



Oscar
Compliance Manager

"I need a single source of truth to understand all relevant regulatory requirements for the business"

"Without a platform with workflows to collaborate, it is a challenge to coordinate all requests while maintaining a clear audit trail."



Oscar worries he doesn't have the overview



Anne
Head of Legal Affairs

"I receive similar questions from so many stakeholders, resulting in a lot of duplicate work within our team."

"Our team spends way too much time in converting legislative texts into complex spreadsheets. Such repetitive tasks prevent our experts from diving into meaningful details."



Anne and her team lose too much time on repetitive work



Rachel
Implementation PMO

"It's often unclear how to translate regulatory obligations into practical and implementable requirements for our governance, data, processes and systems."

"All information from legal and compliance is scattered over many files and systems. A consistent overview of all of that including practical translation for the implementation would save a lot of time."



Rachel lacks interaction between requirements and systems

The need to transform your regulatory compliance program

Organisations need to move away from a reactive & speculative approach to a proactive & optimised approach



Up-to-date

The information that you are working with should always be up-to-date. Relevant pieces can be **collected automatically** from sources to provide consistency and **completeness**.



Proactive

The solution provides support to keep you **ahead of regulations** instead of chasing after them. The approach of regulatory compliance would move from reactive to **proactive** and focus on **insights**.



Collaborative

Complex content should be easily managed, and the solution should allow for **effective oversight among business functions**. This would support successful collaboration between different teams.



Aligned

A solution should **connect easily** to different systems and data sources, enabling alignment across all business units. The result should be a net **gain in efficiency** across the board.



Scalable

Businesses should be able to rely on a **long-term** solution. As such, the solution should be **flexible and scalable** for future compatibility. This also means that you only pay for what you need.

An introduction to Deloitte's Regulatory Compliance services



Regulatory compliance

Deloitte offers a holistic solution to regulatory compliance

Regulatory register

To capture and compile all relevant regulations in a central depository for ease of accessibility and referencing

Regulatory mapping

To assess the criticality of the relevant regulations and map the regulations to relevant action owners with a clear view of recommended action items for the impacted function

Compliance dashboard

To transform raw data from the regulatory library and other compliance-related templates to enhance visualisation of the organisation's compliance status

Regulatory scanning

To perform horizon scanning to track current and new regulatory developments on a regular basis

Regulatory remediation

Our team consists of ex-industry practitioners, ex-regulators and subject matter experts who can review your regulatory program, identify areas for enhancement and implement the enhancements across various regulatory topics

+ Governance

- Corporate governance
- Board effectiveness
- Individual accountability

+ Compliance

- Regulatory compliance
- AML/CFT
- Anti-Bribery and Corruption

+ Consumer protection

- Fair treatment of consumers
- Product transparency
- Market surveillance

+ Financial and regulatory reporting

- IFRS 9 reporting
- Basel reporting
- Deposit insurance reporting

+ Enterprise data management

- Data governance
- Data quality management
- Data protection

+ Digitisation

- Payments
- Technology risk
- Cybersecurity

+ Risk management

- Credit risk & IFRS 9
- Market risk & FRTB
- Operational risk
- Liquidity risk, LCR & NSFR
- Climate risk & scenario analysis
- Capital management
- Conduct risk
- Fraud risk
- New product approval
- Recovery & resolution plan

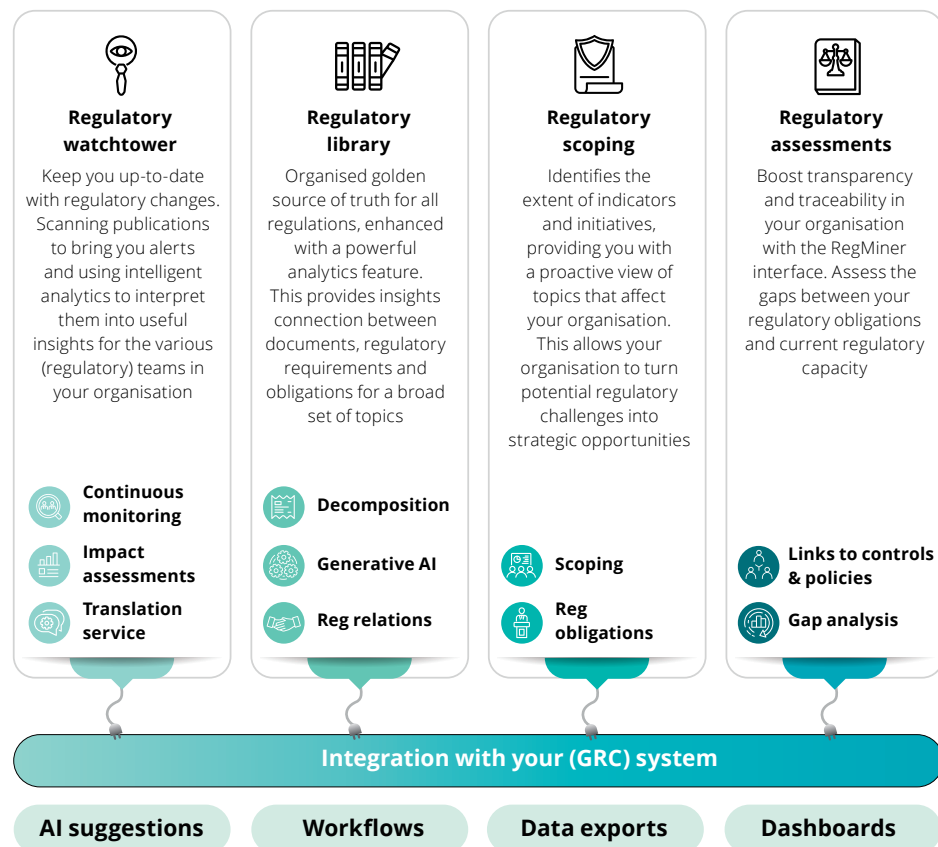
Regulatory transformation

Deloitte offers regulatory tools driven by Generative AI that are to fit your specific requirements



You decide where the regulatory tool usage starts and stops.

Benefit from the end-to-end process, or connect your regulatory data to existing systems.



Contacts

Get in touch with us for a demo on our regulatory compliance solutions



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