



## Helping an investment management firm operate with confidence through comprehensive funds reporting and compliance

### Operate services | Fund and Regulatory Reporting

#### The challenge

A global investment management solutions provider, faced challenges in managing funds registrations, packaged retail and insurance-based investment product (PRIIP) documentation, exchange traded funds (ETF) stock exchange listings, and reporting across multiple jurisdictions.

The increasing complexity and diversity of reporting requirements were impacting daily operations. This stemmed from new regulatory frameworks and the need to incorporate private and crypto ventures into the client's reporting processes. They were looking for a globally coordinated and specialized funds and regulatory reporting strategy to future-proof operations.

#### Our solution

Deloitte Luxembourg designed (and now delivers) an end-to-end next-generation managed service for funds and regulatory reporting operations. This includes fund and share class registrations, creating and analyzing PRIIPs documentation, and managing stock exchange listings for the client's ETF businesses globally. The Deloitte team has also performed at least one sub-fund notification/ registration in 65+ countries.

Deloitte Luxembourg also supports the client's growth by assisting in public and private fund management and handling crypto and ETF listings, fostering continuous improvement and a shared strategic vision for operational success.

#### The outcomes

##### Strengthened regulatory compliance

- ✔ Help the client meet regulatory deadlines by implementing the PRIIPs key information documents (KIDs) regulation. This is for their entire undertakings for collective investment in transferable securities (UCITS) and alternative investment fund (AIF) product range.
- ✔ Deloitte facilitated a smooth transition, upgrading client's AIFs from EU PRIIPs version 1 to version 2.
- ✔ Deloitte's ongoing support helps the client maintain compliance and refocus in-house teams around core business objectives.

##### Greater operational efficiency and scale

- ✔ Help the client to scale operations by producing a significant volume of key investor information documents (KIIDs): 31,000+ PRIIPs KIIDs and 1,500+ UCITS KIIDs.
- ✔ Deloitte performed UCITS/PRIIPs analytics calculations for 1,600+ share classes.
- ✔ With Deloitte's support, the client is successfully expanding their market presence, broadening their scope from public funds to encompass private funds and ETFs.

##### Collaborative growth

- ✔ Deloitte team provides invaluable insights and ongoing support, empowering the client to develop a forward-looking vision and expand their operations strategically.



Calculated 175,000+ PRIIPs data points per month.

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