

Our new AML/CTF (Funds) Standard Digital Curriculum



2,5 hours

As new inspector for the intergalactic regulatory agency, you have been contacted with your colleague in a planet where **strange AML breaches** have been identified. With the help of **your allies**, you must find **the origin** of those breaches across the galaxy and put an end to this **mysterious situation**. In each planet you will visit, you will have the opportunity to learn more about **AML** and with each clues you will collect you will discover the truth about those breaches.

1. Introduction



- Context & objectives
- New AML/CTF regulation
- Key principles and concepts
- Money Laundering
- Terrorist Financing

2. AML Compliance Requirements



- AML/CTF legal framework and risk-based approach
- Proliferation Financing Risks
- Adequate internal organization
- Ongoing monitoring of the business relationship
- Obligation to cooperate with the authorities

3. Due Diligence



- Introduction to the Due Diligence process and standard Due Diligence (KYT, KYA, classification and procedures, etc.)
- Enhanced Due Diligence including Politically Exposed Persons (PEP) (Sanctions lists, high risk classification, etc.)

4. AML/CTF obligations for funds (optional Module)



- Introduction to funds
- IFM, TA AML/CTF obligations
- Oversight function
- Customer identification
- Transaction monitoring
- On-going name screening
- Reporting

5. Knowledge Check



- Randomized selection from a pool of questions.